

COPY OF PAPERS  
ORIGINALLY FILED

NR6

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,752	08/07/2001	Maneesh Jain	2002850-0015

24280  
Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109

CONFIRMATION NO. 3181

FORMALITIES LETTER

OC000000006557014\*

Date Mailed: 09/14/2001

DOCKETED BY: LDV  
KFFMP  
DUE DATE: 10/14/01 (1)  
11/14/01 (2)  
12/14/01 (1)  
1/14/02 (2)  
2/14/02 (3)  
3/14/02 Star

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice **MUST** be returned with the reply.

U.A

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 SFELEKE1 00000047 031721 09923752

02 FC:205

65.00 CH

BEST AVAILABLE COPY



COPY OF PAPERS  
ORIGINALLY FILED

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jain *et al.* Examiner:  
Serial No.: 09/923,752 Art Unit: 1645  
Filed: August 7, 2001  
For: DEVICES AND METHODS TO FORM A RANDOMLY ORDERED  
ARRAY OF MAGNETIC BEADS AND USES THEREOF

**BOX MISSING PARTS**  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

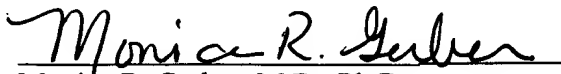
**TRANSMITTAL OF RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts of Application mailed by the U.S. Patent and Trademark Office on September 14, 2001, enclosed herewith please find the following:

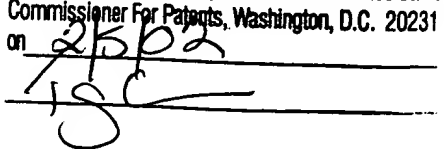
1. Transmittal Letter;
2. Notice to File Missing Parts of Nonprovisional Application;
3. Petition for Extension of Time;
4. Executed Appointment of Attorney;
5. Executed Declaration;
6. Executed Written Assertion of Small Entity Status;
7. Check in the amount of \$460.00; and
8. Return Postcard

Additionally, please charge any further fees associated with this filing or apply any credits to our Deposit Account No. 03-1721.

Respectfully submitted,

  
Monica R. Gerber, M.D., Ph.D.  
Reg. No. 46,724

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109  
(617) 248-5000  
Dated: January 30, 2002  
3359920\_1.DOC

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to: Assistant  
Commissioner For Patents, Washington, D.C. 20231  
on 

BEST AVAILABLE COPY



COPY OF PAPERS  
ORIGINALLY FILED

ATTORNEY DOCKET NO.: 2002250-0015

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jain *et al.*  
Serial No.: 09/923,752  
Filing Date: August 7, 2001  
Title: DEVICES AND METHODS TO FORM A RANDOMLY ORDERED  
ARRAY OF MAGNETIC BEADS AND USES THEREOF

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**WRITTEN ASSERTION OF SMALL ENTITY STATUS**  
**UNDER 37 CFR §1.27(a)(3)**  
**NON-PROFIT ORGANIZATION**

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of the Board of Trustees of Leland Stanford Junior University.

This assertion is made on the ground that the Board of Trustees of Leland Stanford Junior University is either:

- (X) a university or other institution of higher education located in any country;
  - ( ) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
  - ( ) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
  - ( ) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,
- and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

*Katharine Ku*

Name: KATHARINE KU  
Title: DIRECTOR, TECHNOLOGY  
Date: 1/15/02. LICENSING